



## **PUBLIC NOTICE**

### **NOTICE OF ENFORCEMENT ACTION AGAINST FIDELITY CAPITAL MANAGEMENT**

The Capital Markets Authority (CMA) was established under the Capital Markets Authority Act, Cap 84 of the Laws of Uganda. The CMA is a statutory body with the primary responsibility to regulate an orderly, fair, and efficient capital market in Uganda. In line with its statutory obligations, the Authority hereby notifies the general public of enforcement action against Fidelity Capital Management Limited.

Fidelity Capital Management Limited  
Broker/Dealer

The Investment Advisor /Broker /Dealer license for Fidelity Capital Management Limited has been revoked in accordance with section 44(2)(b)(V) of the Capital Markets Authority Act, Cap 84 due to failure of the company to carry on the business for which it was licensed.

As a result of the revocation, Fidelity Capital Management Limited is not permitted to carry on any securities business in Uganda. Any person that deals with Fidelity Capital Management Limited does so at their own risk.

Any persons who may have any inquires regarding their assets with Fidelity Capital Management Limited should contact the Director, Legal and Compliance, Capital Markets Authority.

**In case of any other inquiries, please contact;**

**the Chief Executive Officer,**

**Capital Markets Authority,  
Jubilee Insurance Centre, 8th Floor,  
14 Parliament Avenue, P. O. Box 24565,**

**Kampala Uganda,**

**Tel +256 41 434 2788 / 434 2791/031264950,**

**Fax +256 41 434 2803,**

**Email [info\[at\]cmauganda.co.ug](mailto:info@cmauganda.co.ug)**

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