



Capital Markets Authority

Protecting Your Investments

PUBLIC NOTICE

REVOCATION OF BROKER/DEALER LICENSE FOR FIRST RENAISSANCE SECURITIES LTD

The Capital Markets Authority (CMA) was set up by an Act of Parliament, the Capital Markets Authority Act, Cap 84 of the Laws of Uganda. The CMA is a statutory body with regulatory objectives of investor protection, reduction of systemic risk and promotion of an orderly, fair, and efficient capital market in Uganda

In line with its regulatory objectives, the CMA wishes to inform the general public that following an application by First Renaissance Securities Limited (formerly Renaissance Capital Limited) for voluntary withdrawal of its Broker/Dealer License, the Authority in accordance with the Capital Markets Authority Act, 1996 Section 44(2) (b) (v) revoked the their Broker/Dealer License (RCL 1112 BD) with effect from **30th November 2011**.

First Renaissance Securities Ltd shall

with effect from **1st December 2011** hold an Investment Advisor License (Parts A and B) which provides for offering of investment advisory services and carrying out research.

All clients are advised to visit First Renaissance Securities office located at plot 90, Kanjokya Street, Kanjokya House to arrange the transfer of their accounts to other licensed broker/dealers. The list of all CMA licensees can be found on the CMA website.

In case of any other inquiries, please contact the **Director, Legal and Compliance, Capital Markets Authority, Jubilee Insurance Centre, 8th Floor, 14 Parliament Avenue, P. O. Box 24565, Kampala Uganda, Tel +256 414 342788 /342791, +256 312 264950, Fax: +256 414 342803, Email: info@cmauganda.co.ug, website: www.cmauganda.co.ug**