

**REGULATORY NOTICE**

No. 2/2018

**CHANGE OF REPORTING TEMPLATES FOR FUND MANAGERS**

**Background**

Regulation 6 of the Capital Markets (Fund Manager) Regulations 2004 requires every Fund Manager to submit to the Authority;

- a) quarterly reports of the portfolio under its management within thirty days of the end of each calendar quarter;
- b) half yearly reports of the portfolio under its management within thirty days of the end of each half-year, including reports of its own financial performance;
- c) annual reports of the total value of the portfolio under its management including the number of clients; and
- d) audited annual financial statements for its operations including the information prescribed in the First Schedule to these Regulations.

The Authority engaged Fund Managers and obtained comments on how to capture more detailed data relating to the asset management section of the market. Most of the comments have been incorporated into the revised reporting templates (**attached**).

**Recommendation**

All Fund Managers should adopt the new formats effective the quarter ended June 30, 2018.

**Note:**

- The two templates should be submitted on a quarterly basis. Template 1 should be filled and submitted electronically.
- All other reporting obligations for Fund Managers remain the same.
- Please ensure compliance and do not hesitate to contact the Authority for further clarification.

**End notes**

1. The Capital Markets Authority Act Cap 84
2. The Capital Markets (Fund Manager) Regulations, 2004

**Date:**

30 May 2018

**Type of Notice:**

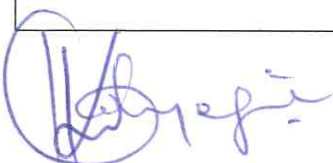
Guidance

**Suggested routing:**

- Senior Management
- Compliance Officers

**Topic(s)**

Reporting obligations



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