

REGULATORY NOTICE	No. 04/2026
<p>COMPLIANCE REQUIREMENT WITH THE CAPITAL MARKETS AUTHORITY (CORPORATE GOVERNANCE) REGULATIONS, 2025</p> <p>The Capital Markets Authority (the <i>Authority</i>) hereby notifies all public listed companies and market intermediaries that the Authority exercised its mandate under the Capital Markets Act, Cap. 64, to promulgate the Capital Markets Authority (Corporate Governance) Regulations, 2025 (the <i>Regulations</i>).</p> <p>Pursuant to Regulation 27 of the said Regulations, public listed companies and market intermediaries were granted a twelve (12) months transition period from the date of gazettelement (24th January 2025) within which to strictly comply with the requirements prescribed thereunder.</p> <p>The transition period effectively lapsed on 24th January 2026.</p> <p>Accordingly, all public listed companies and market intermediaries are hereby required to be in full compliance with the Capital Markets Authority (Corporate Governance) Regulations, 2025.</p> <p>The Authority further notifies the market that enforcement action and remedial measures shall be undertaken against any public listed companies and market intermediaries found to be non-compliant with the provisions of the Regulations.</p> <p>This Notice is issued pursuant to the Authority’s supervisory and enforcement mandate under the Capital Markets Act Cap. 64.</p>	<p>Date: 19th February, 2026</p> <p>Type of Notice: Guidance</p> <p>Suggested routing:</p> <ul style="list-style-type: none"> • All Licensed Persons • All approved Persons <p>Topic (s) Compliance Requirement with the Capital Markets Authority (Corporate Governance) Regulations, 2025</p>



Josephine Okui Ossiya
Chief Executive Officer